

PTO/SB/30 (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	10/080,052
Filing Date	February 21, 2002
First Named Inventor	Sung-Jin Kim
Art Unit	1654
Examiner Name	Susan D. Coe
Attorney Docket Number	SJKIM-002USQ

#11
SJC
7/16/03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on May 2, 2003
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Check for \$375.00, Certificate of Mailing & Postcard

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 375.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Bruce B. Brunda	Registration No. (Attorney/Agent)	28,497
Signature	<i>Bruce B. Brunda</i>	Date	June 2, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

Express

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. June 2, 2003

Name (Print/Type)	Dawn A. Sacks	Date	June 2, 2003
Signature	<i>Dawn A. Sacks</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

ATTORNEY DOCKET NO: SJKIM-002US
TITLE: COMPOSITION CONTAINING ASIASARI RADIX EXTRACTS FOR
PROTECTING BRAIN CELLS AND IMPROVING MEMORY

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

☒ I hereby certify that this correspondence is being deposited with the
United States Postal Service with sufficient postage as first class mail in
an envelope addressed to:

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

☐ I hereby certify that this correspondence is being deposited with the
United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR 1.10, Express Mail No. * addressed to:

Mail Stop *
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on May 2, 2003


(Signature)

Debra Gabaldon
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this
certificate must identify each submitted paper.

1. Transmittal Form;
2. Amendment After Final (24 pages);
3. Declaration Under 37 C.F.R. §1.132 of Sung-Jin Kim, PH.D. (4
pages);
4. Return Postcard.

Dear Sir:
Please date stamp and return this card,
adding the serial number assigned where
applicable.

Date Mailed: May 2, 2003

Serial No.: 10/080,052

Filing Date: February 21, 2002

Title: COMPOSITION CONTAINING
ASIASARI RADIX EXTRACTS FOR
PROTECTING BRAIN CELLS AND
IMPROVING MEMORY

Docket Number: SJKIM-002US

Papers transmitted herewith:

1. Certificate of Mailing;
2. Transmittal Form;
3. Amendment After Final (24 pgs.);
4. Declaration Under 37 C.F.R. §1.132 of Sung-Jin Kim, Ph.D.; and
5. Return Postcard.

(BBB/dg)


Please type a plus sign (+) inside this box → ☐


PTO/SB/21 (08-00)
 Approved for use through 10/31/2002. OMB 0851-0031
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/080,052	
	Filing Date	February 21, 2002	
	First Named Inventor	Sung-Jin Kim	
	Group Art Unit	1654	
	Examiner Name	Susan D. Coe	
Total Number of Pages in This Submission	29	Attorney Docket Number	SJKIM-002US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration Under 37 C.F.R. Section 1.132 of Sung-Jin Kim
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bruce B. Brunda STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	May 2, 2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 2, 2003		
Typed or printed name	Debra Gabaldon	
Signature		Date
		05/02/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

LAW OFFICES
Stetina Brunda Garred & Brucker
A PROFESSIONAL CORPORATION
PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION CAUSES

75 ENTERPRISE, SUITE 260
ALISO VIEJO, CALIFORNIA 92656

FAX RECEIVED

JUL 15 2003

GROUP 1600

KIT M. STETINA
BRUCE B. BRUNDA
WILLIAM J. BRUCKER
MARK B. GARRED
MATTHEW A. NEWBOLES
ERIC L. TANEZAKI
LOWELL ANDERSON

MARLENE KLEIN
IN H. KIM
SEAN O'NEILL
BRENT A. FOLSOM
MICHAEL D. NORNBERG *

JESSIE WANG †

TELEPHONE (949) 855-1246
FACSIMILE I (949) 855-6371
FACSIMILE II (949) 716-8197
www.stetinalaw.com

FACSIMILE COVER SHEET

July 14, 2003

TO: Susan D. Coe

COMPANY: U.S. Patent and Trademark Office

FROM: In H. Kim

OUR REF: SJKIM-002USQ

FAX NO.: (703) 872-9306

NO. OF PAGES INCLUDING THIS COVER SHEET 36

IF YOU DO NOT RECEIVE ALL OF THE PAGES OF THIS FAX OR IF THEY ARE ILLEGIBLE, PLEASE CALL DAWN AT (949) 855-1246.

MESSAGE:

THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAIN INFORMATION FROM THE LAW FIRM OF STETINA BRUNDA GARRED & BRUCKER WHICH IS CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMISSION SHEET. IF NOT IN THE HANDS OF THE INTENDED RECIPIENT(S), ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS TELECOPIED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS TO US AT NO COST TO YOU.

* ADMITTED TO FLORIDA AND D.C. BAR ONLY
† PATENT AGENT

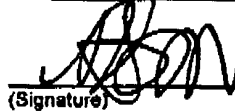
ATTORNEY DOCKET NO: SJKIM-002USQ
TITLE: COMPOSITION CONTAINING ASIASARI RADIX EXTRACTS FOR
PROTECTING BRAIN CELLS AND IMPROVING MEMORY

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

☒ I hereby certify that this correspondence is being deposited with the
United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR 1.10, Express Mail No. EV 261491927 US
addressed to:

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on June 2, 2003


(Signature)

Dawn A. Sacks
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this
certificate must identify each submitted paper.

1. RCE Transmittal;
2. Check for \$375.00;
3. Certificate of Mailing; and
4. Postcard.